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Securities Regulation (2013)

SECURITIES REGULATION

SIXTH EDITION

Marc I. Steinberg

LexisNexis
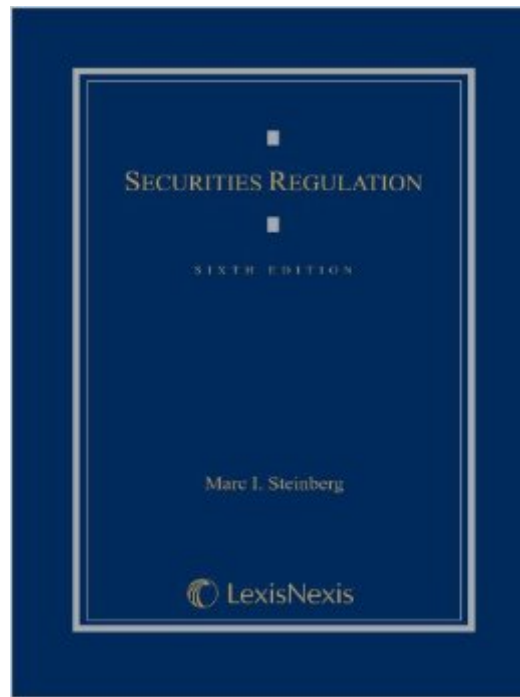
Synopsis

The coverage of Securities Regulation, Sixth Edition, is designed for both the basic securities regulation course and advanced seminars. The text covers the traditional issues as well as the developing areas. Subjects that receive extensive treatment include: definition of a security, exemptions from registration, the JOBS Act, the registration process, Sarbanes-Oxley, Dodd-Frank, the policy debate underlying disclosure, resales (including SEC Rule 144), due diligence (including the integrated disclosure framework), disclosure obligations in a myriad of contexts, international securities developments including global offerings, remedies and liabilities under both federal and state securities law, broker-dealer regulation, corporate control transactions, attorney professional responsibility, SEC enforcement, and "Blue Sky" regulation. This text treats the above subjects in a comprehensive, understandable, yet intellectually challenging manner, combining both the theoretical and practical in this complex subject area. While the case method is employed, it is by no means exclusive. In addition to case law, the text includes other relevant material such as SEC releases and scholarly commentary. The problem method is extensively used, and is particularly suitable for a "practical" course where upper-level students are seeking to do some "lawyering." It should stimulate intellectual discussion, and, at the same time, provide students who await either a sophisticated securities or, alternatively, a general business practice with much needed practical analyses and skills.

Book Information

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Customer Reviews

The book does a nice job of detailing very complex and dense material. Several of the cases repeat

focusing on different issues, but that's the nature of the course. The review bit at the beginning of each topic is actually more confusing than useful. The sad part is that securities regulation changes so often that even if you bought the book when it came out, it was already outdated.

Purchased for a class.

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